FORM PTO-1390 (REV 10-05)



T/PTO 15DEC 2000 525 Rec

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

0380-P02373US0

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

(	CONCERNING A FILIN	NG UNDER 35 U.S.C. 371	09//19646						
INTERNA	TIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED						
	P99/04105	15 June 1999	18 June 1998						
TITLE C	F INVENTION	a non Month America Anonmocie	·						
	EPTIDES AND ANTIBUDIE NT(S) FOR DO/EO/US	S FOR MODULATING APOPTOSIS							
	I, Francesca								
Applicant	herewith submits to the United States	Designated/Elected Office (DO/EO/US) the follow	wing items and other information:						
ı. 🗓	This is a FIRST submission of items	s concerning a filing under 35 U.S.C. 371.							
2.	This is a SECOND or SUBSEQUE	NT submission of items concerning a filing under 3	35 U.S.C. 371.						
3.	This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay  Avamination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).								
4. 🔲	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.								
5. X		ication as filed (35 U.S.C. 371(c)(2))	·						
	a. is transmitted herewith (required only if not transmitted by the International Bureau).								
	b. X has been transmitted by	the International Bureau.	wing Office (RO/US)						
. —		oplication was filed in the United States Recei Application into English (35 U.S.C. 371(c)(2							
· 🗔		International Application under PCT Article							
7. <u>X</u>		(required only if not transmitted by the Intern							
		y the International Bureau.							
		wever, the time limit for making such amende	emnts has NOT expired.						
	<del>_</del>								
. П	d. X have not been made and will not be made.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
8. □	An oath or declaration of the inv								
—			ort under PCT Article 36						
10.	A translation of the annexes to tra	e International Preliminary Examination Repo	of tunder for Addictors						
Items 11. to 16. below concern document(s) or information included:									
11.	5 1 1 2 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2								
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. X	X A FIRST preliminary amendment.								
	A SECOND or SUBSEQUENT	preliminary amendment.							
14.	A substitute specification.		•						
15.	A change of power of attorney a	nd/or address letter.							
16. 🕱	Other items or information:								
Cô	py of Form PCT/IB/308	3							

U.S. AFFLICATION NO. (4)	79646	PCT/EP99/04105		0380-P02	373050		
- AO /	7-1-7		1	CALCULATIONS	PTO USE ONLY		
17. XX billowing fees are submitted:  BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ):							
Search Report has been prepared by the EPO or JPO							
International	preliminary examination						
No internation	onal preliminary examinat onal search fee paid to US	ion fee paid to USPTO (37 CFR PTO (37 CFR 1.445(a)(2))	1.482)				
Neither inter international	national preliminary exan search fee (37 CFR 1.445	nination fee (37 CFR 1.482) nor (a)(2)) paid to USPTO					
International and all claim	preliminary examination is satisfied provisions of P	fee paid to USPTO (37 CFR 1.48 CT Article 33(2)-(4)	82) ·				
	ENTER APPRO	\$ 860.00					
Surcharge of \$13 months from the	0.00 for furnishing the oat earliest claimed priority da	th or declaration later than 2 tte (37 CFR 1.492(e)).	0 🗓 30	<b>\$</b> 130.00			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	12 - 20 =		X 18.00	\$ 0.00			
ndependent claims	3 -3 =	0	X 80.00	\$ 0.00			
<u> </u>	ENDENT CLAIM(S) (if app	icable)	+ 270.00	\$ 0.00	<b> </b>		
'뒢		OF ABOVE CALCULA		<b>\$</b> 990.00	-		
must also by filed	for filing by small entity, (Note 37 CFR 1.9, 1.27,	0.00					
##. ##		SUBT	TOTAL =	\$ 990.00			
Processing fee of	\$130.00 for furnishing the	e English translation later than ate (37 CFR 1.492(f)).	20 30 +	0.00			
<del>-</del>		\$ 990.00					
Fee for recording accompanied by	the enclosed assignment ( an appropriate cover sheet	<b>s</b> 0.00					
		\$ 990.00					
		Amount to be: refunded	S				
-			_	charged	8		
b. Please of A dupli	charge my Deposit Account cate copy of this sheet is emmissioner is hereby auth	nt Noin the	amount of \$	to co	ver the above fees.		
NOTE: Wher 1.137(a) or (b) SEND ALL CORRE HAGAN, Po	e an appropriate time line line) must be filed and gran spondence to: atrick J. fman Herrell and	nit under 37 CFR 1.494 or 1.49 ted to restore the application to	95 has not been no pending status.	aturl I	vive (37 CFR		



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## CERTIFICATE OF MAILING BY EXPRESS MAIL UNDER 37 C.F.R. §1.10

NUMBER OF EXPRESS MAIL MAILING LABEL: <u>EL182474073US</u>
DATE OF DEPOSIT WITH POSTAL SERVICE: <u>December 15, 2000</u>

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

Kimberly St. Clair Kelley
Typed Name of Person Mailing Paper of Fee

International Patent Application No. PCT/EP99/04105

Entitled: FAS PEPTIDES AND ANTIBODIES FOR

MODULATING APOPTOSIS

International Filing Date: 15 June 1999

Earliest Claimed Priority Date: 18 June 1998

## Enclosures:

Re:

- Transmittal Letter to the DO/EO/US Concerning a Filing Under 35 U.S.C. §371 (in duplicate)
- Preliminary Amendment
- Copy of Form PCT/IB/308
- Check in the Amount of \$990.00